



ETHICAL BEHAVIOUR IN THE FINANCIAL MARKETS

MOVING FROM INDUSTRY TO PROFESSION

*ELAC a true state-of-the-art **E-Learning, Attestation and Certification** portal*

Access to relevant Codes of Conduct

Provides one central repository for Codes of Conduct, policies and guidelines.

Codes and rules are automatically updated in ELAC, keeping market participants up-to-date with standards relevant to their role.

Including:

ACI Model Code, BIS Single FX Code, FEMR-FMSB, Global Preamble, ...

Powerful Adherence Framework

On ELAC subscribers are continuously challenged to demonstrate their knowledge and understanding of ethical behaviour.

Integrated reporting tools provide a powerful framework to communicate adherence to senior management and to manage conduct risk in your organisation.

Continuing Professional Development (CPD)

ELAC is a powerful study tool and compliance training solution.

Subscribers accumulate Continuing Professional Development Points and maintain a Personal Accreditation Rating (PAR). The PAR measures and demonstrates the knowledge and understanding of ethical behaviour, encouraging individuals to maintain standards as markets evolve.

MIS and RAG Dashboard:

Qualify, quantify and compare individual responsibility & senior management accountability, with board-level information.



ETHICAL CONDUCT - EDUCATION - MEMBERS' NETWORK

ACI FMA, a global non-political, non-profit association of wholesale financial market participants with members from over 60 countries.

ACI FMA continues to take a leading position in ethical practices and education.

ACI FMA members are proud to represent individual responsibility and benefit from a network of peers globally who place great emphasis on the best possibly practice in our profession, just like under modern regulatory regimes such as Senior Management Regime.

Partnering with the ACI will enable you to demonstrate the concrete steps you are taking to ensure all of your staff have been trained to the highest ethical standards of conduct, and that they understand their individual obligations.

Our Members include:

Central bankers, Brokers, Dealers,
Service providers, Consultants,
Investment bankers, Hedge Fund
managers, Asset managers,
Regulators, ...

“ACI FMA’s mission is to become the global recognised body bringing international self-certification in the wholesale financial markets industry.”

Bruno Langfritz
ACI FMA Regional President



More information:

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“ACI Ireland has the pleasure of hosting the 56th ACI World Congress in Dublin 11 – 13 May 2017.

For more details of the event please visit:

www.acidublin2017.com

We look forward to welcoming you to Dublin”

Ger O’Brien,
President, ACI Ireland,
the Financial Markets Association

